

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

ANDREW N. LEWIS,

Petitioner,

v.

D.L. RUNNELS, Warden,

Respondent.

No. C 04-2857 MJJ (PR)

**ORDER DENYING PETITION
FOR A WRIT OF HABEAS
CORPUS**

Petitioner is a California prisoner who filed this pro se habeas corpus petition pursuant to 28 U.S.C. § 2254. The Court ordered respondent to show cause why the petition should not be granted on the basis of petitioner's cognizable claims. Respondent filed an answer accompanied by a memorandum and exhibits contending that the petition should be denied. Petitioner did not file a traverse. The matter is submitted.

PROCEDURAL BACKGROUND

Petitioner was convicted by a jury in Alameda County Superior Court of voluntary manslaughter, attempted voluntary manslaughter, and discharging a firearm at an occupied motor vehicle. The trial court sentenced petitioner to twenty-three years in state prison. The California Court of Appeal affirmed the convictions, and denied petitioner's state petition for a writ of habeas corpus. Petitioner challenged his conviction in a petition for review to the California Supreme Court. This petition was denied.

1 On July 15, 2005, petitioner filed with this Court a federal habeas corpus petition
2 containing four claims. The first three claims were previously presented to the California
3 Supreme Court in the petition for review, but petitioner had not yet raised the claim that
4 the trial court erred in refusing to instruct the jury on the defense of another. On
5 November 23, 2004, respondent moved to dismiss the petition on the ground that it
6 contained an unexhausted claim. On August 29, 2005, this Court stayed the proceedings
7 and held the federal petition in abeyance to allow petitioner to exhaust his fourth claim in
8 the California Supreme Court. Petitioner presented his fourth claim to the California
9 Supreme Court in a petition for writ of habeas corpus on September 12, 2005. Petitioner
10 added to that habeas petition the claim that his state appellate attorney had been
11 ineffective for failing to raise the fourth claim in the initial state petition for review. On
12 June 21, 2006, the California Supreme Court denied the habeas petition. On or about July
13 7, 2006, petitioner filed in this Court an amended petition for writ of habeas corpus.

14 As grounds for habeas relief, petitioner asserts that: (1) Trial counsel was
15 ineffective for failing to seek a mistrial or curative admonition regarding a prospective
16 juror's statements during voir dire; (2) the prosecutor committed misconduct by violating
17 the trial court's orders excluding any reference to petitioner's prior police contacts, his
18 bulletproof vest, and his gang affiliations; (3) his due process rights were violated because
19 the trial court refused to instruct the jury on a theory of defense of another person; and (4)
20 appellate counsel was ineffective for not raising the claim that the trial court erroneously
21 refused to instruct the jury on the defense of another person.

22 **FACTUAL BACKGROUND**

23 The following facts are set forth in the California Court of Appeal opinion:

24 Prosecution Case

25 Raymond Daniels testified that on Friday night, May 22, 1998, he
26 and his friend, Travis Taylor, drove to Harry's Liquor Store at 90th Avenue
27 and McArthur Boulevard in Oakland to buy some chips and soda for
Daniels's mother, who lived nearby. There were about 20 to 25 people in
the parking lot and near the store at that time, waiting for a "sideshow"

1 (cars cruising and doing maneuvers at the intersection of 90th and
2 McArthur) to start. Although Daniels recognized many of the faces from
the neighborhood, he only knew two or three of the people by name.

3 When he and Taylor entered the store, Daniels came face to face
4 with appellant¹, with whom he went to high school and had engaged in
several previous confrontations. Appellant said in a mad voice, "you all in
5 my fucking grill," and Daniels asked appellant, "what do you want to do?"
Appellant and Daniels then "squared up," sizing each other up for a fight.
6 A bald-headed man who seemed to know appellant came up and pleaded
with Daniels to let appellant go about his business. Appellant then walked
7 out to the store "rambling about some stuff about Ghost Town and where he
was from and all this." He also said something about "beat[ing] your
8 mother fucking ass." Daniels was also getting in appellant's "face." Taylor
said a couple of things to appellant about "beating his ass."

9 They all walked out of the store and appellant got into his car and
drove away. Taylor then got a bottle out of a nearby garage can and threw
10 it at appellant's car. The bottle hit and broke the passenger window of
appellant's car. Appellant briefly hit the brakes, but then kept on driving
away.

11 Daniels and Taylor got into their car in the parking lot, and were
waiting for a car that was blocking their exit to move, when, less than two
12 minutes after he had left, appellant's car came screeching into the parking
lot. Appellant got out of his car and Daniels saw a gun in his right hand.
Appellant walked up to their car, and Taylor, who was in the driver's seat,
13 opened his car door, telling appellant not to shoot him. Taylor then closed
the door and appellant fired four shots into the car. The first shot missed
14 them, the second and third shots hit Taylor, and the fourth shot hit Daniels
in the arm. It all happened so fast; once Daniels realized that appellant was
15 really shooting, he put his arm across his forehead to protect himself and
got shot in the arm.

16 Taylor started backing the car up and Daniels fell out of the car into
the street. He then saw appellant "fiddling with the gun, kind of pointed at
17 me again." Appellant then ran back to his car and drove off. Daniels ran
back towards Taylor's car, which had made a U-turn and hit a parked car.
18 It took about 25 minutes for an ambulance to arrive, and Taylor and Daniels
were taken to the hospital. Taylor had already passed away by then and
19 Daniels was treated for the gunshot wound to his upper arm.

20 From the time appellant's car screeched to a stop in the parking lot
through the time he came up and shot Taylor and Daniels, Daniels was
21 certain that no one rushed appellant or his car. Also, Daniels saw a young
lady in the passenger seat of appellant's car when appellant drove back to
the parking lot and got out of his car.

22 Adrian Goodall testified that he was at Harry's Liquor Store on the
night of the shooting. He was good friends with Taylor, and knew Daniels
23 through Taylor. While Goodall was outside the store, Taylor and Daniels
came out and told him they were having a problem in the store and asked
24 him to come inside. Goodall got two other people and went in the store.
Another man was with appellant, telling them all to let it go. It seemed like
25 the incident was over; appellant left the store, and Taylor and Daniels

26
27 ¹ Petitioner is referred to as "appellant" in the state court opinion.

1 followed.

2 Goodall then saw appellant drive away and saw Taylor and Daniels
3 in their car waiting for someone who was blocking their way to move. As
4 he was leaving the parking lot, Goodall saw appellant walking at normal
5 speed towards Taylor's car with a pistol in his hand. He had his jacket on
6 backwards, with the hood up trying to cover his face. He said something
7 like, "what's up now" or "now what." Then he pointed the pistol and shot
8 three times. After appellant shot the gun, he turned around and walked
9 back out of the parking lot. No one threatened appellant or interfered with
10 him when he walked to and from Taylor's car.

11 A group of 10 or 12 people who had gathered around Taylor's car
12 flagged down a police officer who was driving by. The people became
13 hostile when the officer started searching Taylor, who was barely breathing,
14 rather than helping him. The officer ordered people to move away from the
15 car, and eventually arrested Goodall. Goodall hit the officer and tussled
16 with other officers before being put in the back of a police car. Goodall was
17 taken to the police station and questioned, but apparently was never charged
18 with any crime or charges were dropped. He never made a deal to testify in
19 return for charges being dropped.

20 Rahsaan Jiltoniro testified that he was about 25 feet away from
21 Taylor's car when he heard the sound of two gunshots. He looked over and
22 saw appellant standing over Taylor, who was trying to cover himself.
23 Jiltoniro saw Taylor's passenger run out of the car. Then he heard three
24 more gunshots. He saw Taylor grabbing the gear shift and his body jerking
25 as he was being shot, and he saw Taylor pull out and drive away, before
26 crashing his car soon after. Neither Taylor nor Daniels had a gun in his
27 hands at the time of the shooting.

28 No one seemed aware of appellant until the shots were fired, when
29 people ducked out of the way. Then, as he ran to his car, people went after
30 him. Appellant got into his car and drove away.

31 Dijnae Garrett testified that she was living with appellant's friend,
32 Torrance Mackey, in May 1998. On the night of the shooting, appellant
33 came to their apartment at about 12:30 a.m. Mackey left their bedroom and
34 talked with appellant for awhile, and then returned and went to a dresser
35 drawer, telling Garret not to go into the drawer. A few weeks later, police
36 searched the apartment and found a gun in the drawer.²

37 Dyendis Davis testified that appellant was her boyfriend in May
38 1998. On the day before the shooting, Davis and appellant were driving
39 around when appellant took a gun out of his waistband and talked Davis
40 into shooting it out the window. The next evening, May 22, 1998, appellant
41 drove Davis to work and picked her up around 10:10 p.m. He dropped her
42 off at home and then went out alone. She paged him at about 1 a.m., and he
43 called her back 30 minutes later. Davis could hear in his voice that
44 something was wrong; he sounded sad. When she asked him what was
45 wrong, he said, "Nothing man, nothing, just bad. It's all bad." When he
46 returned to Davis's apartment about an hour later, appellant acted normal,
47 but he had cuts on his knuckles. He told her that someone had thrown a
48 glass bottle through his car window. He would not elaborate.

49 Appellant was arrested later that morning outside Davis's apartment.

50 ² The gun was later determined to be the gun appellant used to shoot Taylor and Daniels.

1 Appellant called Davis from jail and asked her to bring a pen and piece of
2 paper when she came to see him. When she visited him at jail, appellant
3 held up a piece of paper on his side of the glass partition that listed the
4 names, addresses, and physical descriptions of Daniels and Goodall.
5 Appellant told Davis to copy down the information; she did so, and put the
6 paper in her pocket. He did not tell her anything else about what to do with
7 the information. After the visit ended, a police officer arrested Davis and
8 took the piece of paper from her.

9 Sergeant Enoch Joseph Olivas testified that on June 9, 1998, he met
10 with Nirran Wells, after learning that Wells was appellant's cellmate at
11 Santa Rita jail and that Wells was interested in talking about appellant.
12 Wells told Olivas details of the murder that appellant had shared with him,
13 including that appellant was in an argument ("mean-mugging") with
14 Daniels at a store; that appellant was driving off in a yellow Cadillac when
15 Taylor threw a bottle through the front passenger window; that appellant
16 drove off but then stopped just down the block; that appellant went to the
17 trunk of his car and pulled a handgun out of the trunk; that he came back to
18 the store and walked up to the driver's side of Taylor's car; that he shot at
19 both of them point-blank, hitting Taylor three times and Daniels once; and
20 that he then fled in his car.

21 Wells also told Olivas that appellant had a jacket pulled up over his
22 head to hide his identity. He further told Olivas that appellant had said that
23 Taylor's car was a stick shift and, at the time of the shooting, Daniels was
24 telling Taylor to "drive on, . . . drive on[.]" as though they were having
25 difficulty getting the car to move. Wells said that appellant told him that
26 Taylor had backed up and hit some other cars and was fumbling with the
27 stick shift when appellant started shooting. "[B]oth Mr. Daniels and Travis
28 knew what was happening. They looked at Andrew Lewis and they were
both ducking down in the car, since they couldn't get the car to move fast
enough they were sitting in the car, trying to duck down to lean over in an
attempt to protect themselves."

Olivas further testified that Wells had told him that appellant had a
16-year-old girl in his car named Keisha, whom he drove home after the
shooting. Wells also said that appellant told him that he had gone to the
house of Torrance Mackey ("T Mack") and switched guns with him before
going to his girlfriend's house.

Finally, Wells told Olivas that appellant had said he wanted friends
of his on the outside to kill witnesses in the case so that he could have a
speedy trial. Appellant planned to get Dyendis Davis to tell T Mack and
"E.G." to kill two witnesses; appellant got the information from the defense
copy of the police report. Wells called Olivas on June 11, 1998, and told
him that Davis would be visiting appellant later that day. After appellant
and Davis met, Olivas and another officer detained Davis and recovered a
piece of paper with names, addresses, and physical descriptions of Adrian
Goodall and Raymond Daniels. Another piece of paper with similar
information was found in appellant's cell; that paper also had Rahsaan
Jiltonilro's name and description on it.

Sergeant Louis Cruz, who had assisted Sergeant Olivas in this case,
testified that he received a phone call from Nirran Wells on June 8, 1998,
which he summarized as follows: "Mr. Wells didn't identify himself
initially. He told me that he was Andrew Lewis's cellmate and that Andrew
Lewis had told him details regarding the murder and that Andrew Lewis
told him that he had switched the gun with ["Pone"] that was used in the

1 murder, that the gun that O.P.D. [Oakland Police Department] had was not
2 the murder weapon.”

3 Nirran Wells, called as a prosecution witness, acknowledged that he
4 had shared a cell with appellant, but claimed he had never heard appellant
5 talk of having witnesses killed. The prosecutor reviewed with Wells a large
6 portion of his preliminary hearing testimony, in which Wells had testified
7 about much of what appellant had told him about the shootings and about
8 his plan to have witnesses killed. Wells claimed at trial that his preliminary
9 hearing testimony was false. Wells also admitted giving police a statement
10 containing details about appellant’s plan for having witnesses killed, but
11 said that it was in large part false.³

12 Defense Case

13 Jenae Williams testified that she was with appellant when he stopped
14 at Harry’s Liquor Store on the night of May 22, 1998. Appellant stopped at
15 the store when he saw his friend Sean. Williams waited in the car and saw
16 up to 10 men approach appellant just inside the store. The men got in
17 appellant’s “face” and he looked afraid. As appellant left the store, some of
18 the men were “throwing” what might have been gang signs with their
19 hands. After appellant got back in the car and started to drive away,
20 Williams heard a loud, shattering noise and something came through the
21 front passenger window of the car. Williams dropped down and appellant
22 dropped down over her, asking if she was okay. Williams was traumatized
23 and in shock. The car came to a stop and appellant got out, apparently
24 going towards the back of the car since Williams could not see where he
25 went. Williams feared for her life, so she got out of the car and started
26 running up the hill. As she ran, she heard three or four gunshots. She
27 eventually found a friend she had been with earlier that day.

28 Emanuel Gabriel testified that he had been friends with appellant for
several years. He stopped at Harry’s Liquor Store on the night of May 22,
1998, to get something to drink. Gabriel was in the back of the store when
he saw appellant inside the store surrounded by a few guys. After Gabriel
paid for his drink, appellant was leaving and he said to appellant, “Let’s go.
That it wasn’t really even worth it.” Gabriel and appellant walked out of
the store together and the people came out after them, talking like they were
mad. Appellant and Gabriel got into their cars. Gabriel backed out of the
parking lot, but did not drive away as he watched appellant pull out also.
There were seven or eight guys approaching appellant, and then Gabriel
heard a pop. Appellant’s car had stopped because the guys were
surrounding it. Gabriel then saw a girl get out of appellant’s car and run
away.

Gabriel saw appellant get out of the car and go around to the back of
the car, while seven or eight people were standing on the passenger side of
the car. Gabriel then saw appellant come out of the crowd, looking scared.
The crowd followed him as he slowly backed away. Gabriel then saw a

³ During the defense case, several law enforcement agents testified to Wells’s poor
credibility as an informant, in particular, his tendency to offer some truthful information and add
false information to it. In exchange for his testimony at the preliminary hearing, Wells was
released from custody 30 days early.

1 brown car pulling out, saw appellant by the brown car, and heard shooting.
2 He did not see appellant at that point, and he left the area.

3 On cross-examination, Gabriel acknowledged that he cooperated
4 with the defense in this case, but that he refused to speak to the prosecution
5 about what happened. Gabriel read in the newspaper that someone had
6 been killed that night and learned that appellant was accused of murder, but
7 he never contacted the police or the district attorney to tell them what he
8 had seen because he did not want to be involved. Gabriel also admitted that
9 he was convicted in 1990 of felony possession of crack cocaine for sale.

10 Appellant testified that on the night of May 22, 1998, he stopped at
11 Harry's Liquor Store because he saw Emanuel Gabriel pull into the parking
12 lot; appellant had not seen Gabriel for a while and wanted to see how he
13 was. Jenae Williams was with him and waited in the car while he went to
14 see Gabriel, whom appellant called "E.G." When appellant walked into the
15 store, Raymond Daniels called his name, and was cursing. He knew
16 Daniels from high school. After high school, some of appellant's friends
17 had problems with Daniels.

18 There were close to 10 people with Daniels, who said to appellant
19 things like, "What is up, motherfucker?" Appellant was shocked and
20 nervous, and he asked Daniels what the problem was. Daniels and the other
21 people were blocking appellant's exit from the store when Gabriel came up
22 and put a hand on appellant; Gabriel said "Man, just go. Just go. . . . It's
23 not worth it." Two of the guys got out of the way and appellant left the
24 store. The group of guys followed appellant out and said all kinds of
25 threatening things to him. Appellant got into his car and started to drive off
26 when he heard his window burst. He grabbed Williams, who was
27 screaming, threw her to the floor, and got on top of her. Appellant heard
28 people yelling "get him." He saw people at the passenger door and saw that
the window was broken. He tried to hold the door shut as people tried to
open it; he was scared because he thought someone shot his window out.

Williams then got out of the car and ran away. Appellant went to the
trunk and grabbed a gun. The crowd moved down towards the trunk and
appellant backed away from them, looking for Williams and trying to get
away. He backed into a car. He turned around fast and saw a car; he then
saw Raymond Daniels pointing a gun at him, with a mean, crazy look on his
face. Appellant's hand came out of his pocket with a gun and he shot three
times into the car, without even aiming. Appellant described the shooting:
"I don't know why. It was a reaction. It was a gun right there. I'm
trapped. On this side I got this crowd chasing me. I just ran into something
and I turn around and it is a gun."

After appellant shot the gun, the crowd parted and he ran to his car,
jumped in, and sped off. He drove to his parents' house, got some money,
got some clothes, and drove in a blue Honda to a friend's house in
Berkeley. The friend was not at home, so appellant drove to the home of
his friend Torrance Mackey. Appellant gave Mackey his gun and Mackey
gave him another one because appellant was scared that the people from the
liquor store might be looking for him. Appellant then went to the home of
his girlfriend, Dyendis Davis, where he spent the night. He did not tell her
what had happened. He was arrested the next morning after leaving Davis's
house.

Nirran Wells was appellant's cellmate, and appellant talked to him
about the shooting and let him read the police reports. Appellant
acknowledged giving the witness information to Davis when she visited

1 him at jail; he did it surreptitiously because he knew he was not supposed to
 2 have witness information. He gave her the names because his lawyer had
 3 not investigated fully and appellant and his father had talked about hiring a
 private investigator to talk to witnesses. He never told Nirran Wells that he
 was going to have anyone kill the witnesses.

4 On cross-examination, appellant admitted that he had been convicted
 in April 1997 of felony reckless driving while fleeing and evading a
 pursuing police officer.

5 Appellant's father, Nathaniel Hawthorn Lewis, II, testified that he
 6 had asked appellant to give him the names of the witnesses in the case so
 that he could give the names to an investigator he planned to hire.
 7 Appellant had said he would get the information to his father either through
 his friend Mackey or his girlfriend Dyendis.

8 People v. Lewis, No. C135099, slip op, at 1-11, (Cal. Ct. App. January 21, 2003)
 9 (hereinafter "Slip op.") (Ex. 1, Appendix A.)

10 DISCUSSION

11 A. Standard of Review

12 This Court may entertain a petition for a writ of habeas corpus submitted by an
 13 individual in custody pursuant to a state court judgment only if the custody violates the
 14 United States Constitution, laws or treaties. 28 U.S.C. § 2254(a) (2005); Rose v. Hodges,
 15 423 U.S. 19, 21 (1975).

16 A district court may not grant a petition challenging a state conviction or sentence
 17 unless the state court's adjudication "resulted in a decision that was contrary to, or
 18 involved an unreasonable application of, clearly established Federal law, as determined
 19 by the Supreme Court of the United States." 28 U.S.C. § 2254(d)(1) (2005); Williams v.
 20 Taylor, 529 U.S. 362, 402-403 (2000).

21 A state court decision qualifies as "contrary to" federal law if it directly
 22 contravenes a Supreme Court decision on a question of law, or reaches a conclusion
 23 converse to a Supreme Court decision with materially indistinguishable facts. Id. at 413.
 24 A state court decision involves an "unreasonable application" of federal law if it
 25 "identifies the correct governing legal principle from [the Supreme] Court's decisions but
 26 unreasonably applies that principle to the facts of the prisoner's case." Id. at 412-413. In
 27 determining whether a state court's decision contravenes or unreasonably applies clearly
 28

1 established federal law, a federal court examines the decision of the highest state court to
 2 address the merits of a petitioner's claim in a reasoned decision. LaJoie v. Thompson,
 3 217 F.3d 663, 669 n.7 (9th Cir. 2000). In this case, the highest state court to issue a
 4 reasoned opinion was the California Court of Appeal.

5 B. Legal Claims

6 1. Ineffective Assistance of Trial Counsel

7 Petitioner contends that his trial attorney was ineffective because she did not move
 8 for a mistrial or request a curative instruction in response to a prospective juror's
 9 damaging comments. Petitioner alleges that discussions between the trial court judge and
 10 a prospective juror took place in the presence of the entire panel of prospective jurors,
 11 including those who ultimately served as jurors during trial. Petitioner claims that these
 12 exchanges had a prejudicial impact on the jury.

13 During voir dire, the following discussion took place between the trial court and
 14 prospective juror John Raess ("Raess"):

15 "[THE COURT]: Mr. R[.], are there any questions that you heard or
 16 anything I've asked that might lead you to believe you could not be fair and
 impartial in this case?

17 "[RAESS]: I have kind of – the defendant's name rang a bell with
 me. Is Funk Town involved with this at all?

18 "[THE COURT]: Well, I can tell you the area where this occurred.
 Funk Town has some different borders, depending on who is talking about
 it.

19 "[PROSECUTOR]: Your Honor, may we approach?

20 "[THE COURT]: Okay.

21 "(Court and counsel confer, not reported.)

22 "[THE COURT]: Mr. R[.], in discussion with the lawyers, your
 question was did this take place in Funk Town?

23 "[RAESS]: Funk Town is involved with this.

24 "[THE COURT]: The answer to that is yes. [¶] Would that affect
 your ability?

25 "[RAESS]: It may.

26 "[THE COURT]: Without being specific, what is your acquaintance
 or relationship with Funk Town?

27 "[RAESS]: I worked with the Oakland Tribune from the '80s to the
 early 1990s when Funk Town first became active.

28 "[THE COURT]: So do you think if the facts in this case concerned
 Funk Town that you could not be a fair and impartial juror? Because
 somebody can throw up their hands, that's it, as far as I'm concerned, this
 guy is guilty.

"[RAESS]: Well, I think there's a fair – considering what they were

1 involved with and what was going on around that time, I think fair
2 assumption.

3 “[THE COURT]: But then tarring somebody with this, you know.
4 The question is I don’t know if he’s involved or not involved. [¶] The
5 answer to your question would have been yes.”

6 (Voir Dire Reporter’s Transcript “VDRT” at 161-162.)

7 The court also asked Raess questions about his job and experiences with crime and
8 the criminal justice system. Id. 164-166. After Raess mentioned that some of his work
9 for the *Oakland Tribune* involved reporting on Oakland courts, the defense attorney asked
10 whether that experience might affect him as a juror. Id. at 167. Raess responded, “I
11 know stuff gets left out, stuff doesn’t get brought up.” Id. He also said he might “wonder
12 what’s not being told us, what we’re not hearing about.” Id. Raess thought “most of the
13 time people who are brought to the court are guilty.” Id. The defense attorney asked
14 Raess if he could presume petitioner was innocent to which he replied, “I think there’s a
15 90 percent probability that he’s guilty.” Id. at 168.

16 Defense counsel challenged Raess for cause and he was removed, but counsel did
17 not move for a mistrial. Petitioner contacted defense counsel and asked why she did not
18 move for a mistrial or request a curative instruction. In a declaration, defense counsel
19 stated:

20 “6. My failure to request a mistrial or request an admonition was
21 due to inadvertence on my part, and not based on any tactical decision. I
22 believe it would have been in my client’s best interest to seek a mistrial at
23 that point, and to convene a new jury voir dire that did not include the jury
24 members that had been tainted by exposure to the prospective juror R.’s
25 highly damaging statements, or at least request an admonition from the
26 court. [¶] 7. My failure to request a mistrial or admonition from the court
27 was error due to my own inadvertence to diligently protect and defend Mr.
28 Lewis’ [sic] rights to a trial by impartial jury.”

(Pet’r Ex. C at unnumbered appendix; Pet. at 10.)

29 Petitioner contends that Raess’s statements during voir dire violated his
30 constitutional rights in two different ways. First, petitioner argues that the trial court’s
31 discussion of Funk Town implied that the charged crimes were gang related. Second,
32 petitioner claims that the jury pool was tainted by Raess’s statement that “there was a 90
33 percent probability that [petitioner] was guilty.” Petitioner contends that defense counsel

1 was ineffective for failing to move for a mistrial or request a curative instruction to the
2 jurors with respect to Raess's comments.

3 A claim of ineffective assistance of counsel is cognizable as a claim of denial of
4 the Sixth Amendment right to counsel, which guarantees not only assistance, but effective
5 assistance of counsel. Strickland v. Washington, 466 U.S. 668, 686 (1984). The
6 benchmark for judging any claim of ineffectiveness must be whether counsel's conduct so
7 undermined the proper functioning of the adversarial process that the trial cannot be
8 relied upon as having produced a just result. Id.

9 In order to prevail on an ineffective assistance claim, petitioner must establish
10 deficient performance and resulting prejudice. First, an attorney's performance qualifies
11 as deficient if it falls below an "objective standard of reasonableness." Id. at 687-688.
12 Judicial scrutiny of counsel's performance must be highly deferential, and a court must
13 indulge a strong presumption that counsel's conduct falls within the wide range of
14 reasonable professional assistance. Id. at 689. Second, prejudice has resulted if there is
15 "a reasonable probability that, but for counsel's unprofessional errors, the result of the
16 proceedings would have been different." Id. at 694. A reasonable probability is a
17 probability sufficient to undermine confidence in the outcome. Id. If the petitioner
18 cannot establish incompetence under the first prong of Strickland, then a federal court
19 considering a habeas ineffective assistance of counsel claim does not have to analyze
20 prejudice under the second prong. Siripongs v. Calderon, 133 F.3d 732, 737 (9th Cir.
21 1998).

22 The California Court of Appeal found that no judicial misconduct occurred. (Slip
23 op. at 15.)⁴ As the appellate court noted, the trial court told Raess that the crime involved
24 Funk Town only at the direction and approval of both the defense counsel and prosecutor.

25
26 ⁴ Petitioner raised these claims in the California Court of Appeal by alleging judicial
27 misconduct in his direct appeal, and ineffective assistance of trial counsel in his state habeas
28 petition. The Court of Appeal rejected the judicial misconduct claim in an extended discussion,
and summarily rejected the claim that counsel was ineffective in failing to file the mistrial
motion.

1 Id. The Court of Appeal determined that the trial court's statement was an attempt to
2 ascertain Raess's bias against Funk Town. Id. Accordingly, the court concluded that this
3 was a proper and necessary part of voir dire. Id.; (citing People v. Martinez, 228
4 Cal.App.3d 1456, 1465 (1991) ("The purpose of questioning by the court and counsel is
5 to convince jurors to reveal their thoughts and opinions candidly. This is to the criminal
6 defendant's advantage since jurors who reflect such attitudes can be discovered and
7 eliminated from the process.") The appellate court also ruled that the trial court was
8 correct when it said it was careful not to say petitioner was a member of Funk Town. Id.
9 The trial court specifically told Raess that he did not know whether petitioner was
10 involved with Funk Town, and the Court of Appeal viewed that the discussion "generally
11 was fairly obscure." Id. The appellate court pointed out that the trial court reminded
12 Raess of the presumption of innocence and later advised the jury that they "must
13 determine the facts from the evidence received in the trial and not from any other source."
14 Id. The Court of Appeal presumed the jury followed the court's instructions, and found
15 nothing in the record to depart from this assumption. Id. at 15-16. The appellate court
16 noted that the trial court did not allow the prosecution to introduce any evidence of
17 petitioner's Funk Town affiliation, further shielding petitioner from prejudice. Id. at 16.
18 The appellate court concluded that "the court's interaction with J.R. did not rise to the
19 level of misconduct and [petitioner's] claim that he was prejudiced by the trial court's
20 comments cannot succeed." Id.

21 The appellate court reasonably found that the trial court's responses to Raess's
22 questions were proper. Raess asked if Funk Town was involved with the crime, to which
23 the court responded, "Funk Town has some different borders, depending on who is
24 talking about it." The court's answer implied that Funk Town refers to a geographical
25 location. When Raess stated "Funk Town is involved in this" the court answered in the
26 affirmative, and asked Raess additional questions to determine whether petitioner's
27 association with Funk Town would affect Raess's ability to be impartial. The state
28 appellate court reasonably concluded that there was no judicial misconduct, or the

1 possibility of prejudice from Raess's statements during voir dire. As there was no
2 judicial misconduct or prejudice, counsel's failure to raise this claim in a motion for a
3 mistrial was neither deficient nor prejudicial. See Juan H. v. Allen, 408 F.3d 1262, 1273
4 (9th Cir. 2005) (trial counsel cannot have been ineffective for failing to raise a meritless
5 motion).

6 With respect to Raess's statement that he believed that ninety percent of criminal
7 defendants are guilty, there is no evidence that the jurors reacted to this statement or were
8 affected by it. Raess did not express any personal knowledge about petitioner or the
9 present case – he clearly had a broad bias against criminal defendants. Petitioner does not
10 point to any evidence that the jurors used Raess's sentiment against petitioner, or even
11 considered it. Raess did not claim to have any authority on the matter, and there was no
12 reason for the juror's to find his statement credible. In any event, the trial court dismissed
13 Raess for cause, and the jury was instructed to presume petitioner innocent and determine
14 the facts of the case from the evidence received at trial. Under these circumstances, there
15 is no reasonable likelihood that the outcome of the trial would have been different had
16 counsel requested a curative instruction or moved for a mistrial. Consequently, the state
17 courts reasonably concluded petitioner did not receive ineffective assistance of counsel in
18 this regard.

19 2. Prosecutorial Misconduct

20 Petitioner contends that the prosecutor's comments at trial violated his right to due
21 process. Petitioner alleges that the prosecutor committed misconduct because he
22 repeatedly violated the court's order excluding any evidence regarding petitioner's prior
23 police contacts, possession of a bulletproof vest, and gang affiliation.

24 Prosecutorial misconduct is cognizable in federal habeas corpus. The appropriate
25 standard of review is the narrow one of due process and not the broad exercise of
26 supervisory power. Darden v. Wainwright, 477 U.S. 168, 181 (1986). A defendant's due
27 process rights are violated when a prosecutor's misconduct renders a trial "fundamentally
28 unfair." Id.; Smith v. Phillips, 455 U.S. 209, 219 (1982) ("the touchstone of due process

analysis in cases of alleged prosecutorial misconduct is the fairness of the trial, not the culpability of the prosecutor.") Claims of prosecutorial misconduct are reviewed "on the merits, examining 'the entire proceeding[s]' to determine whether the prosecutor's remarks 'so infected the trial with unfairness as to make the resulting conviction a denial of due process.'" Hall v. Whitley, 935 F.2d 164, 165 (9th Cir. 1991) (quoting Donnelly v. DeChristoforo, 416 U.S. 637, 643 (1974)).

A. Prosecutor's Statements Regarding Prior Police Contacts

Petitioner contends that the prosecutor violated the court's ruling to exclude evidence of prior contacts between petitioner and the police. Petitioner claims that the prosecutor violated the ruling during his opening statement to the jury, when he referred to two separate instances of petitioner's contact with the police.

In his opening statement, the prosecutor first described the shootings. (RT at 46-54.) The prosecutor then explained how the police investigation resulted in petitioner's arrest:

So the police come. And Raymond Daniels says to the police, Drew. He also says yellow Cadillac. One of the first officers who responded, Officer Chris Jenson thought, and he put together the description of Drew and put together the description of the yellow Cadillac, and said *I remember stopping in Torrance Mackey's neighborhood on 8th and Foothill in December of 1997 a Drew who had a yellow Cadillac, let me get that field contact card.* And the field contact card that he had in his car, the one that he retrieved that night after the shooting in East Oakland said December 22, 1997, the neighborhood of 8th and Foothill near Lake Merritt, Andrew Lewis, 1977 yellow Cadillac with the license plate.

And so through DMV records, they went to where the Cadillac was registered on Homestead Street in Oakland. No Cadillac. *Through other cross referencing, the sergeants at the homicide scene were able to determine that Andrew Lewis was living with his parents in San Leandro.* They go there to his parents' home and there is the yellow Cadillac with the busted out passenger's window.

(RT at 55, italics added.)

Following the prosecutor's opening statement, defense counsel moved for a mistrial on the grounds that the prosecutor violated the court's in limine ruling regarding petitioner's prior police contacts. The court denied counsel's motion, finding that the prosecutor's statements did not imply that petitioner was stopped for anything serious, but

1 instead suggested that he was stopped for a traffic violation. (RT at 66-67.)

2 The California Court of Appeal determined that the prosecutor's statements did not
3 prejudice petitioner. (Slip op. at 18.) The court reasoned that the prosecutor's reference
4 to a police officer stopping petitioner simply suggested a routine traffic stop. Id. The
5 court found that the prosecutor's remark about "other cross referencing" also suggested
6 that the officers were merely consulting DMV and other records to find petitioner's
7 address. Id. The court maintained that it was "highly unlikely" that the jury was
8 convinced that petitioner had a criminal disposition because the police stopped him in
9 December 1997. Id. The court concluded that there is not a "reasonable likelihood that
10 the jury construed or applied any of the complained-of remarks in an objectionable
11 fashion" and, thus, the comments "did not render the trial fundamentally unfair." Id.;
12 (quoting People v. Berryman, 6 Cal.4th 1048, 1072 (1993)).

13 The state appellate decision was not contrary to, or an unreasonable application of,
14 clearly established Supreme Court law. The decision cited the proper federal standard in
15 finding no error, and the court asserted that even if the prosecutor's comments "rose to
16 the level of misconduct, they certainly were not so egregious as to have prejudiced
17 [petitioner]." (Slip op. at 18) (citing People v. Hill, 17 Cal.4th 800, 819 (1998)).
18 Furthermore, the state appellate court's application of the federal law was not
19 unreasonable. The court reasonably found that there was no constitutional error because
20 the prosecutor's statement that Officer Chris Jensen "stopping" petitioner referred to, at
21 most, a routine traffic violation. The prosecutor mentioned a "field contact card," not a
22 police report or even a traffic citation. The sergeants' "other cross referencing" also
23 suggested routine investigation, and certainly did not suggest that petitioner had a
24 propensity for criminal behavior. Consequently, the state court reasonably concluded that
25 the prosecutor's statements did not render the trial fundamentally unfair so as to violate
26 petitioner's right to due process.

27 B. Testimony Regarding Petitioner's Bulletproof Vest

28 Petitioner contends that the prosecutor committed misconduct because a

1 prosecution witness mentioned petitioner's bulletproof vest. Petitioner argues that the
2 prosecutor violated the trial court's order to exclude evidence that petitioner was in
3 possession of a bulletproof vest at the time of his arrest. Raymond Daniels ("Daniels")
4 testified that he had once seen petitioner and his friend Torrance Mackey ("Mackey") at a
5 party in Berkeley. (RT at 108.) On direct examination, the prosecutor and Daniels had
6 the following exchange:

7 "[PROSECUTOR]: What else do you remember about Andrew
and/or Torrance at this party that you haven't told us?

8 "[DANIELS]: It seemed like they were bigger than what they
usually would be. I think they had on bullet proof vests.

9 "[PROSECUTOR]: What makes you say that? Did they have
jackets on?

10 "[DANIELS]: Yes."

11 (RT at 110-111.)

12 Defense counsel objected, arguing that Daniels's comment about the bulletproof
13 vest violated the court's in limine ruling. The trial court disagreed, and asserted that the
14 in limine motion related only to a bulletproof vest in petitioner's possession at the time of
15 his arrest. Defense counsel moved for a mistrial, and the trial court denied the motion.

16 The Court of Appeal concluded that the prosecutor's question was not improper.
17 The appellate court agreed with the trial court that the in limine motion did not cover the
18 Berkeley incident. (Slip op. at 19.) Therefore, the court reasoned, the prosecutor's
19 question about the vest did not constitute misconduct. Id. In addition, the appellate court
20 noted that the prosecutor said that the information about petitioner wearing a bulletproof
21 vest in Berkeley was new to him. Id. In finding no misconduct, the California Court of
22 Appeal stated that Daniels's "testimony about the party was tangential to the issues in the
23 case." Id. Although the court did not cite the Darden standard explicitly, citation of
24 federal cases is not required "so long as neither reasoning nor the result of the state-court
25 decision contradicts them." Early v. Packer, 537 U.S. 3, 8 (2002). Daniels's testimony
26 reflected that he believed petitioner had on a bulletproof vest at a party at a different time
27 and location than the crime. In addition, Daniels only *believed* petitioner had a vest,
28 based on the observation that petitioner "seemed bigger than [he] usually would be;"

1 Daniels never stated he ever actually saw petitioner ever wear such a vest. Moreover, the
 2 trial court specifically told the prosecutor, in the presence of the jury, that the questioning
 3 was getting “too far afield” from the issues at hand. Under these circumstances, Daniels’s
 4 testimony and the prosecutor’s questions did not render the trial fundamentally unfair in
 5 violation of due process.

6 C. Exploration of Witness’ “Ghost Town” Reference

7 Petitioner contends that the prosecutor violated the court’s pretrial ruling to
 8 exclude reference to gangs or gang involvement in petitioner’s case. Daniels testified that
 9 he and petitioner “squared up” at Harry’s Liquor Store moments prior to the crime. The
 10 prosecutor and Daniels then had the following exchange:

11 “[PROSECUTOR]: What happened next?

12 “[DANIELS]: Andrew walked out the store rambling about some
 stuff about Ghost Town and where he was from and all this.

13 “[PROSECUTOR]: Territorial stuff?

14 “[DANIELS]: Yes.”

(RT at 117.)

15 Petitioner argues that the prosecutor’s follow-up question, “[t]erritorial stuff?” was
 16 improper because he encouraged gang-related testimony, which the trial court had already
 17 ruled as inadmissible.

18 The California Court of Appeal reasonably found no prejudicial misconduct from
 19 the prosecutor’s questions. As the court explained, the Ghost Town reference “sounded
 20 more like a geographical reference than a gang reference.” (Slip op. at 20.) The court
 21 made this determination in light of Daniels’s earlier testimony:

22 “[PROSECUTOR]: When Andrew went to high school, do you
 know where he was staying?

23 “[DANIELS]: I think he was from Ghost Town or something like
 that.

24 “[PROSECUTOR]: Where is that?

25 “[DANIELS]: I’m not sure.

26 “[PROSECUTOR]: Back then, do you know where Torrance was
 staying?

27 “[DANIELS]: Also from the Ghost Town area.”

(RT at 106.) The court found that “it would be reasonable to understand [petitioner’s]
 28 alleged ramblings about Ghost Town to be territorial, given that his defense involved the
 claim that the people hanging out at Harry’s Liquor Store targeted him as someone from

outside the neighborhood.” (Slip op. at 20.) Thus, the court ruled that even if the prosecutor’s follow up question “bordered on the improper, there is very little likelihood that the jury took the question and answer as showing that [petitioner] was a gang member and therefore of bad character.” *Id.* As Daniels’s testimony merely defined Ghost Town as a region of Oakland, neither the prosecutor’s question nor Daniels’s comments linked Ghost Town to any gang, and there was no testimony regarding gangs or defining Ghost Town or Funk Town as a gang, the Court of Appeal reasonably concluded the prosecutor’s question “[t]erritorial stuff?” was too ambiguous to convey to the jury that petitioner was affiliated with any gangs or gang members. Under these circumstances, the prosecutor’s question did not “so infect the trial” as to render it fundamentally unfair in violation of petitioner’s right to due process.

D. Introducing Witness Statement Regarding “Funk Town”

Petitioner contends that there is a second instance in which the prosecutor violated the trial court’s order prohibiting any reference to gangs. Petitioner alleges that during the direct examination of petitioner’s jail cellmate, Nirran Wells (“Wells”), the prosecutor made a gang-related reference when he mentioned Funk Town.

At trial, Wells recanted nearly all of his statements from petitioner’s preliminary hearing with respect to petitioner’s involvement in the shooting and plan to have witnesses killed. In an effort to impeach Wells, the prosecutor reviewed with Wells in detail the transcript from the preliminary hearing and portions of police reports. Wells testified that he either did not recall making the prior statements or that they were false.

At one point, the prosecutor asked Wells the following question:

“[PROSECUTOR]: Did you tell Sergeant Lou Cruz that morning June 8, 1998, that the gun was used in the murder Andrew Lewis switched with Pone from Funktown and it was a .38 snubnose?

“[Wells]: No.”

(RT at 417.) After this exchange, defense counsel asked to approach the bench. The trial court responded: “I know what the issue is. I think it’s perfectly okay to ask that question. [¶] The question is whether he said that to the police. You can go on the record when this is over. I think it is a perfectly proper question under the circumstances.” (RT at 417-418.)

1 The Court of Appeal found that the prosecutor's question was improper, but not
 2 prejudicial. (Slip op. at 22.) The court reasoned that the prosecutor did not intentionally
 3 bring in impermissible evidence before the jury as he was in the middle of a lengthy
 4 review of Wells's prior statements and testimony. Id. The California Court of Appeal
 5 reasoned as follows:

6 We do not believe that the question prejudiced appellant. That
 7 appellant might as a result have been indirectly linked to a gang (assuming
 8 the jury understood the brief reference to Funk Town to be a gang-related
 9 reference), did not render the entire trial fundamentally unfair. (See *People*
 10 *v. Hill*, *supra*, 17 Cal.4th at 819.)

11 The jury acquitted appellant of murder and found instead that he was
 12 guilty of voluntary manslaughter. The evidence presented at trial, including
 13 the testimony of Daniels, Goodall, Jiltonirlo, as well as that of Sergeant
 14 Olivas regarding what informant Wells had told him, overwhelmingly
 15 showed that Taylor and Daniels were ducking down in their car, trying to
 16 protect themselves and get away, as appellant was shooting them at point
 17 blank range.⁵ Only appellant testified that Daniels was pointing a gun at
 18 him, and his version of events simply was not very credible, in light of all
 19 of the evidence presented.⁶ Therefore, it is quite improbable that the jury
 20 would have found that appellant shot Taylor and Daniels in true self-
 21 defense but for the Funk Town reference. Indeed the jury seems to have
 22 given appellant the benefit of the doubt in finding that he either acted in
 23 imperfect self-defense or in response to provocation.

24 The peripheral gang reference complained of clearly was not so
 25 important as to undermine the defense case. We find it highly unlikely that
 26 any juror improperly applied the reference to Funk Town and conclude that
 27 the reference did not affect the outcome of the trial. (See *People v.*
 28 *Berryman*, *supra*, 6 Cal.4th at 1072.)

Id. at 22-23.

29 The state appellate court reasonably concluded that the prosecutor's question "did
 30 not render the trial fundamentally unfair" and was not prejudicial. At most, the
 31 prosecutor's questions only indirectly linked petitioner to a gang: the prosecutor's

32 ⁵ Indeed, as Olivas's testimony showed, Wells provided police with detailed information
 33 about the circumstances of the shooting, which he could not easily have fabricated, regarding
 34 appellant's description of Daniels' and Taylor's efforts to escape from appellant and his gun.
 35 For example, Olivas testified that "both Mr. Daniels and Travis knew what was happening.
 36 They looked at Andrew Lewis and they were both ducking down in the car, since they couldn't
 37 get the car to move fast enough they were sitting in the car, trying to duck down to lean over in
 38 an attempt to protect themselves."

39 ⁶ For example, appellant claimed he only got out of his car because he was worried about
 40 Jenae Williams, whom he said had run off after the car window shattered. Williams, however,
 41 testified that appellant got out of the car before she did and that she could not see where he had
 42 gone. Only then, according to Williams, did she leave the car and start running away.

1 statement “Pone from Funktown” associates “Pone,” not petitioner, to Funk Town. There
2 was never any mention of a “gang,” or any explanation that “Funk Town” was a gang.
3 Under these circumstances, a single, indirect reference to Funk Town did not render the
4 trial fundamentally unfair so as to violate due process. Moreover, as the Court of Appeal
5 persuasively explained, in light of the strong evidence of petitioner’s guilt, there was no
6 prejudice from the prosecutor’s questions. Testimony of three eyewitnesses was that
7 petitioner shot into the victims’ car multiple times, and that the victims did not have a gun
8 or threaten petitioner. In light of the strong evidence of guilt, the prosecutor’s isolated
9 and ambiguous reference to Funk Town did not have a substantial and injurious effect on
10 the verdict.

11 3. Erroneous Jury Instruction

12 Petitioner contends that his due process rights were violated because the trial court
13 refused to instruct the jury on the theory of defense of another person. Petitioner argues
14 that this instruction was necessary because there was evidence that he shot the victims to
15 protect his friend, Jenae Williams (“Williams”). Defense counsel requested that the jury
16 be instructed pursuant to CALJIC No. 5.14, which informs the jury that the use of force in
17 defense of another is lawful.⁷ The trial court did give the standard jury instructions on
18 self-defense pursuant to CALJIC No. 5.12.⁸

19 ⁷ CALJIC No. 5.14, regarding homicide in defense of another, provides: “The reasonable
20 ground of apprehension does not require actual danger, but it does require (1) that the person
21 about to kill another be confronted by the appearance of a peril such as has been mentioned; (2)
22 that the appearance of peril around in [his] [her] mind an actual belief and fear of the existence
23 of that peril; (3) that a reasonable person in the same situation, seeing and knowing the same
24 facts, would justifiably have, and be justified in having, the same fear; and (4) that the killing be
25 done under the influence of that fear alone.”

26 ⁸ The jury was instructed on justifiable homicide in self-defense: “The killing of another
27 person in self-defense is justifiable and not unlawful when the person who does the killing
28 actually and reasonably believes: (1) That there is imminent danger that the other person will
either kill [him] or cause [him] great bodily injury; and (2) That it is necessary under the
circumstances for [him] to use in self-defense force or means that might cause the death of the
other person, for the purpose of avoiding death or great bodily injury to [himself]. A bare fear of
death or great bodily injury is not sufficient to justify a homicide. To justify taking the life of
another in self-defense, the circumstances must be such as would excite the fears of a reasonable
person placed in a similar position, and the party killing must act under the influence of those

1 A state trial court's refusal to give an instruction does not alone raise a ground
2 cognizable in a federal habeas corpus proceeding. Dunckhurst v. Deeds, 859 F.2d 110,
3 114 (9th Cir. 1988). The error must so infect the trial that the petitioner was deprived of
4 the fair trial guaranteed by the Fourteenth Amendment. Id. Whether a constitutional
5 violation has occurred will depend upon the evidence in the case and the overall
6 instructions given to the jury. Ducket v. Godinez, 67 F.3d 734, 745 (9th Cir. 1995).
7 After all, due process does not require that an instruction be given unless the evidence
8 supports it. Hopper v. Evans, 456 U.S. 605, 611 (1982).

9 An examination of the record is required to see precisely what was given and what
10 was refused and whether the given instructions adequately embodied the petitioner's
11 theory. United States v. Tsinnijinnie, 601 F.2d 1035, 1040 (9th Cir. 1979), cert denied,
12 445 U.S. 966 (1980). In other words, it allows a determination of whether what was
13 given was so prejudicial as to infect the entire trial and so deny due process. Id.

14 A habeas petitioner whose claim involves a failure to give a particular instruction
15 bears an "especially heavy burden." Villafuerte v. Stewart, 111 F.3d 616, 624 (9th Cir.
16 1997) (quoting Henderson v. Kibbe, 431 U.S. 145, 155 (1977)). However, it is well
17 established that a criminal defendant is entitled to adequate instructions on the defense
18 theory of the case. Conde v. Henry, 198 F.3d 734, 739 (9th Cir. 2000). Failure to instruct
19 on the theory of defense violates due process if "the theory is legally sound and evidence
20 in the case makes it applicable." Clark v. Brown, 450 F.3d 898, 904-905 (9th Cir. 2006)
21 (quoting Beardslee v. Woodford, 358 F.3d 560, 577 (9th Cir. 2004)). However, the
22 petitioner is not entitled to have jury instructions embodying the defense theory if the
23 evidence does not support it. Menendez v. Terhune, 422 F.3d 1012, 1029 (9th Cir. 2005).

24 In determining whether petitioner was entitled to a jury instruction on the defense
25 of another, the California Court of Appeal examined petitioner's testimony. Petitioner
26 explained why he shot the victims:

27 _____
28 fears alone. The danger must be apparent, present, immediate and instantly dealt with, or must
so appear at the time to the slayer as a reasonable person, and the killing must be done under a
well-founded belief that it is necessary to save one's self from death or great bodily harm.

1 “[DEFENSE COUNSEL]: Okay. Did you at any time that night
actually want to kill or wound somebody?

2 “[PETITIONER]: No. When I shot that gun, I just wanted that, the
gun that man had away from me and out of my direction.

3 “[DEFENSE COUNSEL]: And why did you shoot?

4 “[PETITIONER]: Because that is the only thing that I had. I was – I
was trapped on one side with these people that are after me. The other side
I’m trapped with this car and I’m stuck in the middle. And there is a gun
5 here and there is crazy people here. I don’t – that is the only thing. It was a
reaction; really. When I saw that gun, it was just a reaction. [¶] My mind
6 didn’t say, okay, well, you have to shoot these people now. It just
happened.

7 “[DEFENSE COUNSEL]: Did you think you were in danger at that
moment?

8 “[PETITIONER]: I knew I was. I didn’t think. I knew I was.

9 “[DEFENSE COUNSEL]: And what did you think was going to happen?

10 “[PETITIONER]: That I was going to be killed. Shot.”

11 (RT at 1033-1034.) The Court of Appeal determined that petitioner was not entitled to an
instruction on defense of another and that the trial court was correct in finding that
petitioner was entitled to a self-defense instruction. (Slip op. at 25.) The appellate court
12 reasoned that petitioner’s “testimony regarding the actual moment of the shooting,
13 however shows that he was concerned at that time only with defending himself.” (*Id.* at
14 24.) The court also noted that petitioner’s testimony contradicted Williams’s testimony,
15 therefore undermining petitioner’s credibility. (*Id.*)

16 The Court of Appeal’s conclusion was reasonable when considered in light of the
17 evidence presented at trial. On direct examination, petitioner testified that people were
18 threatening him as he walked out of Harry’s Liquor Store. (RT at 1011-1012.) Petitioner
19 got into his car, where Williams was waiting for him. (*Id.*) As petitioner drove away, his
20 “window burst” and he thought someone shot his window. (*Id.* at 1013.) Petitioner
21 covered Williams with his body and held her down; Williams was “screaming” and
22 “hysterical.” (*Id.*) A crowd moved toward petitioner’s car, and Williams said, “Let me
23 go. They are going to kill us.” (*Id.* at 1015.) Williams got out of the car and petitioner
24 saw her running away “a million miles a minute.” (*Id.* at 1016.) Petitioner then got out of
25 his car and took out a gun from his trunk. (*Id.*) The crowd surrounded petitioner, and
26 petitioner turned around and saw Daniels in a car with a gun pointed at him. (*Id.* at
27 1020.) Petitioner fired three shots into the car, ran back to his own car, and drove away.
28 (*Id.* at 1021.) Petitioner did not know where Williams was at this point. (*Id.*)

1 The evidence provided by petitioner's own testimony rebuts the possibility of an
2 instruction on defense of another. Petitioner's testimony conveys that the shooting was
3 triggered by Daniels pointing a gun at *him*, not at Williams. Petitioner's testimony does
4 not show that he fired his gun because he believed Williams's life was in peril. As
5 explained above, Williams testified that at the time of the shooting she was already
6 running up a hill, therefore she was no longer amidst the crowd and or in the zone of
7 danger. According to petitioner's own testimony, he believed his life was in danger and
8 he thought he was going to be killed. Consequently, the self-defense instruction
9 adequately addressed the imminent danger petitioner felt he was in moments before he
10 fired the gun, and an instruction on the defense of another was not supported by the
11 evidence presented at trial.

12 Accordingly, the state courts' rejection of petitioner's claim was not contrary to, or
13 an unreasonable application of, clearly established federal law.

14 4. Ineffective Assistance of Appellate Counsel

15 Petitioner contends that his appellate counsel's ineffective assistance violated his
16 right to due process. The California Supreme Court rejected petitioner's claim that the
17 trial court erred in failing to instruct the jury on defense of others on the ground that it
18 was untimely. Petitioner argues that his appellate counsel was ineffective because this
19 procedural default precluded state and federal review of the claim. Petitioner alleges that
20 he wrote a letter to appellate counsel asking why she had not presented the claim to the
21 California Supreme Court after it had been rejected by the Court of Appeal. (Pet. at 17-
22 18.) Appellate counsel responded that she did not believe relief on that claim was likely,
23 but acknowledged that she should have raised it for the purposes of exhausting the claim.
24 See Ex. C to First Amended Habeas Corpus Petition ("I don't think that on the evidence
25 presented the trial court's ruling denying the instruction [on defense of others] would
26 have been found by either the state court or the federal court to be legal error.").

27 The Due Process Clause guarantees a criminal defendant the effective assistance of
28 counsel on his first appeal as of right. Evitts v. Lucey, 469 U.S. 387, 391-405 (1985).

1 Claims of ineffective assistance of appeal are reviewed according to the standard set out
2 in Strickland. Miller v. Keeney, 882 F.2d 1428, 1433 (9th Cir. 1989). A defendant
3 therefore must show that counsel's advice fell below an objective standard of
4 reasonableness and that there is a reasonable probability that, but for counsel's
5 unprofessional errors, he would have prevailed on appeal. Id. at 1434 n.9 (citing
6 Strickland, 466 U.S. at 688, 694). It is important to note that appellate counsel does not
7 have a constitutional duty to raise every nonfrivolous issue requested by defendant. Jones
8 v. Barnes, 463 U.S. 745, 751-754 (1983). The weeding out of weaker issues is widely
9 recognized as one of the hallmarks of effective appellate advocacy. Miller, 882 F.2d at
10 1434. Appellate counsel therefore will frequently remain above an objective standard of
11 competence and have caused client no prejudice for the same reason – because he
12 declined to raise a weak issue. Id.


13 Petitioner contends that appellate counsel should have raised the issue that the trial
14 court erred in refusing to instruct the jury on the defense of another. As discussed above,
15 however, this claim is without merit. Because that claim was not valid, it was neither
16 unreasonable nor prejudicial for appellate counsel to have failed to raise it. Accordingly,
17 petitioner's claim of ineffective assistance of appellate counsel fails, and petitioner cannot
18 obtain habeas relief on this claim.

19 CONCLUSION

20 For the foregoing reasons, the petition for a writ of habeas corpus is DENIED.
21 The clerk shall close the file and terminate all pending motions.

22 IT IS SO ORDERED.

23 DATED: 9/11/07

24 
25 MARTIN J. JENKINS
26 United States District Judge
27
28